SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average t	ourden
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Mangal Ajay				2. Issuer Name and Zscaler, Inc. [or Tra	ding Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
					201				Director	X 10%	Owner			
(Last) 120 HOLGER		3. Date of Earliest T 06/23/2021	ransacti	on (M	onth/Day/Yea		Officer (give title below)	Othe belo	r (specify w)					
(Street) SAN JOSE	34	4. If Amendment, Da	ate of O	riginal	Filed (Month	ar) 6. Indi Line) X	Form filed by One Reporting Person							
(City)	(State)	(Zip)						•	Person					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Securit	1. Title of Security (Instr. 3)			2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownershi Form: Direc (D) or Indirect (I) (Instr. 4)			
				Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)					
Common Stock	ζ.		06/23/2021		S		8,661	D	\$220.4273 ⁽¹⁾	2,719,619	I	by trust ⁽²⁾		
Common Stock	ζ.		06/23/2021		S		8,661	D	\$220.4273 ⁽¹⁾	2,719,170	I	by trust ⁽³⁾		
												by		

Common Stock	06/23/2021	S	8,662	D	\$220.4273 ⁽¹⁾	2,719,168	Ι	by trust ⁽⁴⁾
Common Stock	06/24/2021	S	14,279	D	\$219.5129 ⁽⁵⁾	2,704,890	I	by trust ⁽²⁾
Common Stock	06/24/2021	S	9,230	D	\$220.6368 ⁽⁶⁾	2,695,660	I	by trust ⁽²⁾
Common Stock	06/24/2021	S	17,563	D	\$221.3493 ⁽⁷⁾	2,678,097	Ι	by trust ⁽²⁾
Common Stock	06/24/2021	S	267	D	\$222.0238 ⁽⁸⁾	2,677,830	Ι	by trust ⁽²⁾
Common Stock	06/24/2021	S	14,279	D	\$219.5129 ⁽⁵⁾	2,704,891	I	by trust ⁽³⁾
Common Stock	06/24/2021	S	9,231	D	\$220.6368 ⁽⁶⁾	2,695,660	Ι	by trust ⁽³⁾
Common Stock	06/24/2021	S	17,562	D	\$221.3493 ⁽⁷⁾	2,678,098	I	by trust ⁽³⁾
Common Stock	06/24/2021	S	267	D	\$222.0238 ⁽⁸⁾	2,677,831	I	by trust ⁽³⁾
Common Stock	06/24/2021	S	14,280	D	\$219.5129 ⁽⁵⁾	2,704,888	I	by trust ⁽⁴⁾
Common Stock	06/24/2021	S	9,230	D	\$220.6368 ⁽⁶⁾	2,695,658	I	by trust ⁽⁴⁾
Common Stock	06/24/2021	S	17,562	D	\$221.3493 ⁽⁷⁾	2,678,096	I	by trust ⁽⁴⁾
Common Stock	06/24/2021	S	266	D	\$222.0238 ⁽⁸⁾	2,677,830	Ι	by trust ⁽⁴⁾
Common Stock						21,566,041	Ι	by trust ⁽⁹⁾

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Tal Date (Month/Day/Year)	De Pee Defivati Execution Date, if any (e.g., pu (Month/Day/Year)	V ⁴ e Se Transa ItSod 8)	CUI action ALS:,	Acq (A) (Disp of (I	urities uired or oosed 0) tr. 3, 4	ifeent Dersissellaof, Expiration Date Optionsy/canvertib		Dr. Bignefici Amount of G. GRAUSITIE Underlying Derivative Security (Instr 3 and 4)		B Diverse Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Øode		of Deri Svenc	umber vative urities	6. Date Exerc Expiration Da Manth/Day/N Exercisable	te	Secui	n Normber itiges Izensares	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned	10. Ownership Form: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
1. Name ar <u>Manga</u>		Reporting Person [*]			_	of (İ	osed)) tr. 3, 4			Secur 3 and	ity (Instr. 4)		Following Reported Transaction(s) (Instr. 4)	(I) (Instr. 4)	,
(Last) 120 HOI	LGER WAY	(First)	(Middle)	Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
(Street) SAN JO	SE	CA	95134		-										
(City)		(State)	(Zip)												
1. Name ar CJCP 7		Reporting Person*													
(Last)		(First)	(Middle)												
120 HOI	LGER WAY														
(Street) SAN JOS	SE	CA	95134												
,(City)		(State)	(Zip)		-										

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$220.00 to \$220.925, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this Form 4.

2. The shares are held of record by the CKS Trust for the benefit of YPC dated 12/30/2017 for which Mr. Mangal serves as trustee.

3. The shares are held of record by the CKS Trust for the benefit of SRC dated 12/30/2017 for which Mr. Mangal serves as trustee.

4. The shares are held of record by the CKS Trust for the benefit of SDC dated 12/30/2017 for which Mr. Mangal serves as trustee.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$219.00 to \$219.99, inclusive.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$220.00 to \$220.99, inclusive.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$221.00 to \$221.99, inclusive.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$222.00 to \$222.13, inclusive.

9. The shares are held of record by The CJCP Trust for which Mr. Mangal serves as a trustee.

Remarks:



<u>06/25/2021</u> 06/25/2021

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.